## **NATIONAL PHASE** UTILITY APPLICATION

Transmittal to the (DO/EO/US) **Under 35 USC 371** 

Attorney Docket No.: SAEG129.014APC

Int'l Application No.: PCT/JP2004/004034 0 / 550670

Int'l Filing Date: March 24, 2004 Priority Date Claimed: March 26, 2003

Title: COMPLEX OXIDE HAVING N-TYPE THERMOELECTRIC CHARACTERISTICS

Express Mail Label No.: EV309072515US

Direct all correspondence to Customer No.: 20995

Date: September 26, 2005

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The following enclosures are transmitted herewith to be filed in the patent application of:

#### Inventors:

Ryoji Funahashi

#### **APPLICATION ELEMENTS:**

- This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371. (X)
- The U.S. has been elected (Article 31). (X)
- A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated (X) by the International Bureau.
  - PCT/IB/308 enclosed. (X)
- An English translation of the International Application as filed is attached hereto. (X)
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. (X) § 371(c)(3)) have not been and will not be made.
- An Oath or Declaration signed by the inventors (35 U.S.C. § 371(c)(4)). (X)

#### **OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in 4 pages.
- Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment). (X)
- International Search Report. (X) ·
- Cover page of PCT publication WO 2004/086523 A1. (X)
- (X) Return prepaid postcard.

### NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) Under 35 USC 371

# Attorney Dock C20 Recid PGT/APTO 2 6 SEP 2005

First Named Inventor: Ryoji Funahashi Int'l Application No.: PCT/JP2004/004034

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Page 2 of 2

#### **FILING FEES:**

			F	EE C	CALCU	JLATIO	NC					
FEE TYPE & DETERMINATION					LAR	GE FEE	CALCULATION			TOTAL		
Basic Filing Fee under 1.492(a)					1631	(\$300)					\$300	
Search Fee under 1.492(b) (enter one in TOTAL column)												
(1) USPTO was ISA and claims are novel					1640	(\$0)						
(2) USPTO was the ISA					1641	(\$100)					\$400	
(3) Foreign Search Report Enclosed					1642	(\$400)						
(4) No Search Report Enclosed					1632	(\$500)	_					
Examination Fee under 1 492(c) (enter one in TOTAL column)												
(1) USPTO was IPEA & claims satisfy Art. 33 (1)-(4))					1643	(\$0)					\$200	
(2) Non US IPEA / Noncompliant Claims					1633	(\$200)						
Excess Claims	6	-	20	=	0	1615	(\$50)	0	х	50	=	\$0
Excess Ind. Claims	4	-	3	=	1	1614	(\$200)	1	x	200	=	\$200
Multiple Dep. Claim						1616	(\$360)					\$0
Application Size Fee	0	-	100	=	0	1681	(\$250)	0	х	250	=	\$0
Late English Translation						1618	(\$130)					\$0
Assignment						8021	(\$40)	1	х	40	=	\$40
TOTAL FEE DUE								\$1,140				
TOTAL FEE PAID (If Different Than Fee Due)								\$				

(X) A check in the amount of \$1,140 to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

Daniel E. Altman

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Daniel E. Altman

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#### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No.

SAEG129.014APC

Applicant(s)

Ryoji Funahashi

For

COMPLEX OXIDE HAVING N-TYPE

THERMOELECTRIC CHARACTERISTICS

Attorney

Daniel E. Altman

"Express Mail" Label No.:

EV309072515US

**Date of Deposit** 

September 26, 2005

I hereby certify that the accompanying

Transmittal letter; Preliminary Amendment in 4 pages; English translation of specification and drawings; Declaration and Power of Attorney in 2 pages; Assignment Recordation Cover Sheet and Assignment in 3 pages; International Search Report; Cover Page of PCT publication WO 2004/086523 A1; PCT/IB/308; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nelson Merida

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## 10/550670

## JC20 Rec'd PET/PTO 2 6 SEP 2005

#### VERIFICATION OF TRANSLATION

I, the below named translator, hereby declare that:

My name and post office address are as stated below:

That I am knowledgeable in the English language and in the language in which the below identified international application was filed, and that I believe the English translation of the international application No. <a href="PCT/JP2004/004034">PCT/JP2004/004034</a> is a true and complete translation of the above identified international application as filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Date
	August 29, 2005
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